



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/755,520

01/05/2001

Heiko J. Ludwig

CH919990042-US1

CONFIRMATION NO. 5060

FORMALITIES LETTER

OC00000005927885

Anne V. Dougherty 3173 Cedar Road Yorktown Heights, NY 10598

Date Mailed: 04/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

May 10, 2001

H.H. Ludwig, et al

Group Art No.: 2171

Serial No. 09/755,520

Examiner: Not assigned

Filed: January 5, 2001 :

for IBM Corporation Anne Vachon Dougherty

Title: METHOD FOR INTER-

3173 Cedar Road

ENTERPRISE ROLE-

Yorktown Hts, NY 10598

BASED AUTHORIZATION

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Assignment and Recordation Form Cover Sheet

Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

> Commissioner of Patents Washington, DC 20231

on May 10, 2001.

Anne Vachon Dougherty

Signature of person mai

CH919990042



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : May 10, 2001

H.H. Ludwig, et al : Group Art No.: 2171

Serial No. 09/755,520 : Examiner: Not assigned

Filed: January 5, 2001 : for IBM Corporation

Anne Vachon Dougherty

Title: METHOD FOR INTER- 3173 Cedar Road
ENTERPRISE ROLE- Yorktown Hts, NY 10598

ENTERPRISE ROLE-BASED AUTHORIZATION

DEPOSIT AUTHORIZATION

Authorization is hereby given to charge the following fees to Deposit Account No. 50-0510:

Fee for filing Missing Parts of Application (37 CFR 1.16(e)) in the amount of \$130.00.

Fee in the amount of \$40.00 for recordation of Assignment.

A duplicate copy of this authorization is enclosed.

Anne Vachon Dougherty
Name of authorized party

Signature of authorized part